



Docket No.: 216491US8

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313



ATTORNEYS AT LAW

BRADLEY D. LYTLE
(703) 412-6489
BLYTLE@OBLON.COM

MICHAEL E. MONACO
REGISTERED PATENT AGENT
(703) 413-3000
MMONACO@OBLON.COM

RE: Application Serial No.: 10/080,121

Applicants: Tsuyoshi WAKISAKA, et al.

Filing Date: February 22, 2002

For: SEMICONDUCTOR LASER DEVICE AND
SEMICONDUCTOR LASER MODULE

Group Art Unit: 2828

Examiner: LEUNG, QUYEN PHAN

SIR:

Attached hereto for filing are the following papers:

Letter

Date-stamped filing receipt of October 30, 2003

Signed copy of the Information Disclosure Statement/PTO-1449 and Cited References (2)

Our check in the amount of _____ is attached covering any required fees. In the event any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 C.F.R. 1.136 for any necessary Extension of Time to make the filing of the attached documents timely, please charge or credit the difference to our Deposit Account No. 15-0030. Further, if these papers are not considered timely filed, then a petition is hereby made under 37 C.F.R. 1.136 for the necessary extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

Bradley D. Lytle

Registration No. 40,073

Customer Number

22850

(703) 413-3000 (phone)
(703) 413-2220 (fax)

I:\ATTY\MM\KONGKHAM\216491.PTO.COVER..DOC

Michael E. Monaco

Registration No. 52,041



DOCKET NO: 216491US-8

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

RE APPLICATION OF :

TSUYOSHI WAKISAKA, ET AL. :

EXAMINER: LEUNG, QUYEN PHAN

SERIAL NO: 10/080,121 :

FILED: FEBRUARY 22, 2002 :

GROUP ART UNIT: 2828

FOR: SEMICONDUCTOR LASER
DEVICE AND SEMICONDUCTOR
LASER MODULE :

LETTER

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Enclosed herewith is a signed copy of the Information Disclosure Statement (IDS)
filed on October 30, 2003 along with the date-stamped filing receipt indicating the original
filing date of October 30, 2003.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

Customer Number

22850

Tel: (703) 413-3000
Fax: (703) 413 -2220
(OSMMN 08/03)

I:\ATTY\MM\LETTERS\216491.LTR. TO PTO REFILE IDS..DOC

Bradley D. Lytle
Attorney of Record
Registration No. 40,073
Michael E. Monaco
Registration No. 52,041



C

OSMM&N File No. 216491US8

Serial No. 10/080,121

Dept.: E/M

By: BDL/EDG/eac

In the matter of the Application of: Tsuyoshi WAKISAKA, et al.

For: SEMICONDUCTOR LASER DEVICE AND SEMICONDUCTOR LASER
MODULE

Due Date: N/A

The following has been received in the U.S. Patent Office on the date stamped hereon

■ Dep. Acct. Order Form

■ Information Disclosure Statement

■ PTO-1449

■ Cited References 2



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Tsuyoshi WAKISAKA, et al.

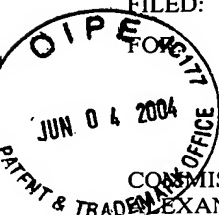
SERIAL NO: 10/080,121

GAU: 2812

FILED: February 22, 2002

EXAMINER:

SEMICONDUCTOR LASER DEVICE AND SEMICONDUCTOR LASER MODULE



INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

Bradley D. Lytle

Registration No. 40,073

Customer Number

22850

Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 05/03)

Edwin D. Garlepp

Registration No. 45,330

Form PTO 1449
(Modified)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.

216491US8

SERIAL NO.

10/080,121

APPLICANT

Tsuyoshi WAKISAKA, et al.

FILING DATE

February 22, 2002

GROUP

2812

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA					
	AB					
	AC					
	AD					
	AE					
	AF					
	AG					
	AH					
	AI					
	AJ					
	AK					
	AL					
	AM					
	AN					

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
				YES	NO
	AO	Hei 11-26887	1/29/1999	Japan	X
	AP	2000-340878	9/8/2000	Japan	X
	AQ				
	AR				
	AS				
	AT				
	AU				
	AV				

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	
	AX	
	AY	
	AZ	

☐ Additional References sheet(s) attached

Examiner

Date Considered

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.